



Leung

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
(Docket No. 12778US03)

In the Application of:

Saliba

Serial No.: 10/786,196

Filed: February 25, 2004

For: Method and Apparatus for Stock and Index
Option Price Improvement, Participation, and
Internalization

Examiner: Jagdish N. Patel

Group Art Unit: 3624

CERTIFICATE OF MAILING

I hereby certify that this correspondence is
being deposited, with sufficient postage,
with the United States Postal Service as
first class mail in an envelope addressed to:
Commissioner for Patents, P.O. Box 1450,
Alexandria, VA 22313-1450.

Joseph M. Butscher

Joseph M. Butscher
Reg. No. 48,326
Date: May 5, 2005

PRELIMINARY AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Prior to examination and issuance of any office action in this application, please amend
the application in this case as follows:

05/11/2005 BABRAHA1 00000022 130017 10786196

01 FC:2202 1050.00 DA
02 FC:2201 300.00 DA